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Assistant Commissioner for Patents, Washington, D.C. 20231  
on Dec. 5, 2001  
by Saundra S. Christopher *Saundra S. Christopher*

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Guenther, L. et al.  
Serial No.: 09/855,360  
Filed: May 15, 2001

: Group Art Unit:  
: 2152  
: IPLaw T81/B503  
: IBM Corporation  
: PO Box 12195  
: RTP, NC 27709

RECEIVED  
JAN 16 2002  
Technology Center 2100

Title: Interception Method and System for Compensating Disadvantageous Characteristics of a Communication Protocol

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

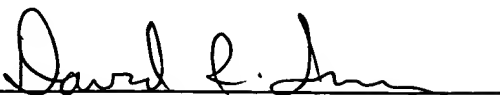
This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Respectfully submitted,

By:   
David R. Irvin, Agent  
Reg. No. 42,682

DRI/ssc

Docket: DE920000038US1

Phone: 919-543-7810 / Fax: 919-254-4330

09/855,360

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.  
DE920000038US1

SERIAL NO.  
09/855,360

List of Patents and Publications for  
Applicant's Information Disclosure Statement

APPLICANT: Gu n th r, L. et al.

FILING DATE: May 15, 2001

GROUP: 2152

(Use several sheets if necessary)

**Reference Designation**

**US PATENT DOCUMENTS**

Examiner Initials	Document Number	Date	Name	Class/Subclass	Filing Date (If. Appr.)
AA	5,673,322	09/30/97	Pepe et al.	380/49	03/22/96

**FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class/Subclass	Translation Yes No
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**OTHER ART (Including Author, Title, Data, Pertinent Pages, etc.)**

- AB Floyd, R. et al. "Mobile Web Access Using eNetwork Web Express", IEEE Personal Communications, IEEE Communications Society, US, vol. 5, no. 5, pp 47-52  
1 October 1998
- AC Hansen, J. et al. "Semi-Connected TCP/IP in a Mobile Computing Environment",  
Workshop IMC. Information Visualization and Mobile Computing, Proceedings of IMC,  
vol. 1, no. 2, pp 39-48, 1998

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.